

# REPORTS ANALYSIS REFERRAL

TO

# OFFICE OF GENERAL COUNSEL

DATE: July 27. 1998

ANALYST: Andrew Dodson

I. COMMITTEE:

AOPA Legislative Action PAC

(C00309856)

Roger C. Myers, Jr., Treasurer 500 E Street SW, Suite 250 Washington, DC 20024

II. RELEVANT STATUTE:

11 CFR § 104.5(c)(3)

2 U.S.C. § 434(a)(4)(B)

# III. BACKGROUND:

Failure to File the 1998 April Monthly Report in a Timely Manner

The AOPA Legislative Action PAC ("the Committee") failed to file the 1998 April Monthly Report of Receipts and Disbursements covering the period from March 1, 1998 through March 31, 1998, in a timely manner. The Committee was notified on December 29, 1997 and February 13, 1998 that the report was due on April 20, 1998 (Attachment 2). A Non-Filer Notice was sent to the Committee via mailgram on May 7, 1998 (Attachment 3).

On May 28, 1998 the Reports Analysis Division ("RAD") analyst was contacted by the Assistant Treasurer, James Hurst, and the failure to file the 1998 April Monthly Report was discussed. Mr. Hurst stated that he would file the report as soon as possible (Attachment 4).

On June 8, 1998 the Commission received the Committee's 1998 April Monthly Report (Attachment 5).

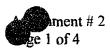
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# OF IMAGE COMMITTEE DOCUMENT RECEIPTS DISBURSEMENTS **PAGES** LOCATION COVERAGE DATES TYPE OF FILER AOPA LEGISLATIVE ACTION PAC ID #C00309856 NON-PARTY QUALIFIED CONNECTED ORGANIZATION: AOPA LEGISLATIVE ACTION 3 97FEC/254/1557 1997 STATEMENT OF ORGANIZATION - MULTICANDIDATE STATUS NOTICE **9DEC97** 1JAN97 -30JUN97 25 97FEC/224/4309 MID-YEAR REPORT 218,246 216,054 1JAN97 -30JUN97 26 97FEC/257/4148 - AMENDMENT 218,246 216,054 MID-YEAR REPORT 28 98FEC/261/0604 216,054 1JAN97 -30JUN97 MID-YEAR REPORT - AMENDMENT 218,246 1JAN97 -30JUN97 6 97FEC/254/1480 REQUEST FOR ADDITIONAL INFORMATION 3 98FEC/258/0282 1JAN97 -30JUN97 REQUEST FOR ADDITIONAL INFORMATION 2ND 49 98FEC/269/2821 383,203 263,564 1JUL97 -310EC97 YEAR-END 1JUL97 -310EC97 2 98FEC/292/3495 1'ST LETTER INFORMATIONAL NOTICE 3 98FEC/269/2818 1998 STATEMENT OF ORGANIZATION - MULTICANDIDATE STATUS NOTICE **30JAN98** STATEMENT OF ORGANIZATION - AMENDMENT 3 98FEC/291/3669 8APR98 3 98FEC/313/1951 **22HAY98** ACKNOWLEDGEMENT OF FILING FREQUENCY CHANGE FEBRUARY MONTHLY 4,976 44,072 1JAN98 -31JAN98 9 98FEC/282/3184 Ē 1JAN98 -31JAN98 4 98FEC/305/3531 - AMENDMENT FEBRUARY MONTHLY .... .... 2 98FEC/292/3497 1JAN98 -31JAN98 1'ST LETTER INFORMATIONAL NOTICE 18 98FEC/288/3485 17 98FEC/316/1148 91,717 23,886 1FEB98 -28FEB98 MARCH MONTHLY 1MAR98 -31MAR98 23,054 65,357 APRIL MONTHLY 1MAR98 -31MAR98 2 98FEC/309/4308 NOTICE OF FAILURE TO FILE 2 98FEC/321/2277 REQUEST FOR ADDITIONAL INFORMATION 1MAR98 -31MAR98 171,349 68,332 1APR98 -30APR98 46 98FEC/313/4756 MAY MONTHLY 7 98FEC/321/2270 1APR98 -30APR98 REQUEST FOR ADDITIONAL INFORMATION 1MAY98 -31MAY98 17 98FEC/317/1996 JUNE MONTHLY 29,682 23,025 1JUN98 -30JUN98 66 98FEC/338/3600 JULY MONTHLY 101,765 64,572 0 341 TOTAL PAGES TOTAL 1,023,992 768,862

All reports except July Monthly have been reviewed Ending cash on hand balance as of 6/30/98: \$447,355 Outstanding debts owed by the committee as of 6/30/98: \$37,103 Outstanding debts owed to the committee as of 6/30/98: \$0.00

# YEAR-END



# REPORT NOTICE

# FEDERAL ELECTION COMMISSION

#### PARTIES AND PACS

December 29, 1997

#### WHO MUST FILE

Party committees and PACs (Nonconnected Committees and Separate Segregated Funds) must file a Year-End Report on January 31, 1998.

I. Semi-annual Filers			
REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Year-End	07/01/97 - 12/31/97	01/31/98	01/31/98
II. Monthly Filers			
REPORT	REPORTING PERIOD*	REG./CERT. MAILING DATE**	FILING DATE
Year-End	12/01/97 - 12/31/97	01/31/98	01/31/98

#### LABEL

Committees should affix the peel-off label from the envelope to line 1 of the report. Corrections should be made on the label.

# COMPLIANCE ·

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

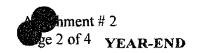
## **ELECTRONIC FILING**

For reports covering activity occurring in 1997 (and later), political committees have the option of filing electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing Gets Underway at FEC," and call the Data Division at (800) 424-9530 or (202) 219-3730.

<sup>\*</sup>These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

<sup>\*\*</sup> Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.





## 1998 FILING SCHEDULE

I. QUARTERLY FILERS

		REG./CERT.	
		MAILING	FILING
REPORT	REPORTING PERIOD*	DATE**	DATE
April Quarterly	01/01/98 - 03/31/98	04/15/98	04/15/98
July Quarterly	04/01/98 - 06/30/98	07/15/98	07/15/98
October Quarterly	07/01/98 - 09/30/98	10/15/98	10/15/98
Pre-General	10/01/98 - 10/14/98	10/19/98	10/22/98
Post-General	10/15/98 - 11/23/98	12/03/98	12/03/98
Year-End	11/24/98 - 12/31/98	01/31/99	01/31/99

# **II. MONTHLY FILERS**

		REG./CERT.		
		MAILING	FILING	
REPORT	REPORTING PERIOD*	DATE**	DATE	
February	01/01/98 - 01/31/98	02/20/98	02/20/98	
March	02/01/98 - 02/28/98	03/20/98	03/20/98	
April	03/01/98 - 03/31/98	04/20/98	04/20/98	
May	04/01/98 - 04/30/98	05/20/98	05/20/98	
June	05/01/98 - 05/31/98	06/20/98	06/20/98	
July	06/01/98 - 06/30/98	07/20/98	07/20/98	
August	07/01/98 - 07/31/98	08/20/98	08/20/98	
September	08/01/98 - 08/31/98	09/20/98	09/20/98	
October	09/01/98 - 09/30/98	10/20/98	10/20/98	
Pre-General	10/01/98 - 10/14/98	10/19/98	10/22/98	
Post-General	10/15/98 - 11/23/98	12/03/98	12/03/98	
Year-End	11/24/98 - 12/31/98	01/31/99	01/31/99	

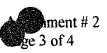
# 24 HOUR REPORT ON INDEPENDENT EXPENDITURES

Any PAC that makes independent expenditures in connection with an election may have to file a 24 hour report. This reporting requirement is triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the day of the election.

<sup>\*</sup>These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

<sup>\*\*</sup> Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.





# REPORT NOTICE

## FEDERAL ELECTION COMMISSION

# **PARTIES AND PACS**

February 13, 1998

## WHO MUST FILE

Party Committees and PACs (Nonconnected Committees and Separate Segregated Funds) filing on a monthly basis must file Monthly Reports in 1998.

# REPORTING DATES

		REG./CERT.	
		MAILING	FILING
REPORT	REPORTING PERIOD*	DATE**	DATE
February	01/01/98 - 01/31/98	02/20/98	02/20/98
March	02/01/98 - 02/28/98	03/20/98	03/20/98
April	03/01/98 - 03/31/98	04/20/98	04/20/98
May	04/01/98 - 04/30/98	05/20/98	05/20/98
June	05/01/98 - 05/31/98	06/20/98	06/20/98
July	06/01/98 - 06/30/98	07/20/98	07/20/98
August	07/01/98 - 07/31/98	08/20/98	08/20/98
September	08/01/98 - 08/31/98	09/20/98	09/20/98
October	09/01/98 - 09/30/98	10/20/98	10/20/98
Pre-Election	10/01/98 - 10/14/98	10/19/98	10/22/98
Post-Election	10/15/98 - 11/23/98	12/03/98	12/03/98
Year-End	11/24/98 - 12/31/98	01/31/99	01/31/99

## 24 HOUR REPORT ON INDEPENDENT EXPENDITURES

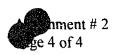
Any PAC or Party Committee that makes independent expenditures in connection with an election may have to file a 24 hour report. This reporting requirement will be triggered if the committee makes independent expenditures aggregating \$1,000 or more after the 20th day, but more than 24 hours, before the day of the election.

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<sup>\*</sup>These dates indicate the beginning and the end of the reporting period. A reporting period always begins the day after the closing date of the last report filed. If the committee is new and has not previously filed a report, the first report must cover all activity that occurred before the committee registered.

<sup>\*\*</sup> Reports sent by registered or certified mail must be postmarked by the mailing date; otherwise, they must be received by the filing date.





# CHANGE IN FILING FREQUENCY

Committees wishing to change their reporting schedule (for example, from monthly to quarterly) must notify the Commission in writing when they file their next report due under their current reporting schedule. Committees may change their filing frequencies no more than once per calendar year.

## LABEL

Committees should affix the peel-off label from the envelope to Line 1 of the report. Corrections should be made on the label.

## **ELECTRONIC FILING**

Political committees have the option of filing their reports electronically. If you are interested in this option, review the enclosed handout entitled "Electronic Filing of Disclosure Reports," and call the Data Division at (800) 424-9530 or (202) 219-3730.

## **COMPLIANCE**

Treasurers of political committees are responsible for filing all reports on time. Failure to do so is subject to enforcement action. Committees filing illegible reports or using non-FEC forms (except for FEC approved computer generated forms) will be required to refile.

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WESTERN UNION COMMERCIAL SERVICES

CONFIRMATION OF MAILGRAM

TO:ROGER C MYERS JR

ADPA LEGISLATIVE ACTION PAC

500 E ST SW STE 250

WASHINGTON DC 20024-2760

FEDERAL ELECTION COMMISSION SHAWN WERTH 999 E ST. NW. WASHINGTON DC 204630001

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IDENTIFICATION NUMBER: C00309856

REFERENCE: APRIL MONTHLY (03/01/1998 - 03/31/1998)

DEAR TREASURER:

IT HAS COME TO THE ATTENTION OF THE FEDERAL ELECTION COMMISSION THAT YOU HAY HAVE FAILED TO FILE THE ABOVE REFERENCED REPORT OF RECEIPTS AND EXPENDITURES AS REQUIRED BY THE FEDERAL ELECTION CAMPAIGN ACT, AS AMENDED. YOU WERE PREVIOUSLY NOTIFIED OF THE DUE DATE FOR THIS REPORT.

IT IS IMPORTANT THAT YOU FILE THIS REPORT IMMEDIATELY WITH THE FEDERAL ELECTION COMMISSION. 999 E STREET. N.W., WASHINGTON, D.C., 20463. A COPY OF THE REPORT OR RELEVANT PORTIONS SHOULD ALSO BE FILED WITH THE SECRETARY OF STATE OR EQUIVALENT STATE OFFICER.

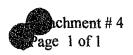
ALTHOUGH THE CONFISSION MAY INITIATE AN AUDIT OR LEGAL ENFORCEMENT ACTION CONCERNING THIS MATTER, YOUR PROMPT RESPONSE AND A LETTER OF EXPLANATION WILL BE TAKEN INTO CONSIDERATION. ----

IF YOU HAVE ANY QUESTIONS REGARDING THIS MATTER. PLEASE CONTACT ANDREW DODSON ON OUR TOLL FREE NUMBER (800)424-9530. QUR LOCAL NUMBER IS (202)694-1130.

SINCERELY.

JOHN D. GIBSON ASSISTANT STAFF DIRECTOR REPORTS ANALYSIS DIVISION





# **TELECON**

ANALYST: Andrew Dodson

**CONVERSATION WITH: James Hurst, Assistant Treasurer** 

**COMMITTEE**: AOPA Legislative Action PAC

**DATE**: May 28, 1998

SUBJECT: Failure to file the 1998 April Monthly Report

On 5/28/98 I received a call from James Hurst, Assistant Treasurer of the AOPA Legislative Action PAC. Mr. Hurst stated that he had mailed the 1998 April Monthly report and was not aware that the Commission had not received the report. I suggested that Mr. Hurst send a copy of the report by certified mail and Mr. Hurst stated that he would mail a copy of the report as soon as possible.

REPORT OF RECEIPTION DISBURSEMENTS

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RECEIVED PEDERAL ELECTION COMMISSION MAIL ROCK

Jun 8 2 13 PN '96

For Other Than An Authorized Committee (Summary Page)

믉	
USE FEC MAILNG (	TYPE OR PRINT

1. NAME OF COMMUTTEE (in lum)

AOPA Legislative Action Political Action Committee

ADDRESS (number and street) Check Widdlement than previously Reported

421 Aviation Way

CITY, STATE and ZIP CODE

Frederick, MD 21701

2.	FEC IDENTIFICATION NUMBER
(	00309856
3.	[] This committee has qualified as a multicandidate
	committee. (see FEC FORM 1M)

4. TYPE OF REPORT			
(a) April 15 Quarterly Report	Monthly Report Due On:		
July 15 Quarierly Report	☐ February 20 ☐ June ☐ March 20 ☐ July 2		
day to country topost	April 20 Augu	- Euri	
October 15 Quarterly Report	May 20 Septe	imber 20 🔲 January 31	
January 31 Year End Report 12-Day Pre-Election Report for the (Type of Election)			
July 31 Mid Year Report (Non-election Year Only)	election on	in the State of	
30-Day Post-Election Report following the General Election			
Termination Fleport	on in the Str	ile of	
(b) is this Report on Amendment? YES XINO			
SUMMARY  5. Covering Period 3/1/98 through 3/31/98	COLUMN A This Period	COLUMN B Calendar Year-to-Date	
Covering Period 37 17 33 through 37 37 73     Cash on Hand Jarsuary 1, 1998		\$ 314,055.15	
(b) Cash on Hand at Bagioning of Reporting Period	\$ 342,790.91		
(c) Total Receipts (from Line 19)	\$ 23,054.42	\$ 119,748.80	
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column 8)	\$ 365,845.33	\$433,803.95	
7. Total Disbursements (from Line 30)	\$ 65,357.24	\$ 133,315.86	
Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$ 300,488.09	\$ 300,488.09	
Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$ 1,244.00	For further information contact: Federal Election Commission	
Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$ 28,002.73	999 E Skeet, NW Washington, DC 20463	
I certify that I have examined this Report and to the best of my knowledge and complete.	Toli Free 600-424-9530 Local 202-219-3420		
Type or Print Name of Treasurer Roger C. Myers, Jr Treasurer			
Signature of Treasurer Reque Rugers/		Date	
NOTE: Submission of false, erroragus, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.			

FEC FORM 3X
(revised 9/93)